

**PLANNED AUDIT 2012/2013**

## APPENDIX 1

[illegible]

<b>Data Protection (18)</b> SODC VWHDC	<b>In progress</b>	10	4.5 4.5										
<b>Pro-Active Anti-Fraud Review (17)</b> SODC VWHDC	Commence Q4	16	0.0 0.0										
<b>Receipt of Income Arrangements (17)</b> SODC VWHDC	Commence Q4	20	0.0 0.0										
<b>Risk Management (17)</b> SODC VWHDC	<b>Final Issued</b>	14	7.0 7.0		<b>Satisfactory</b> <b>Satisfactory</b>	<b>8</b> <b>9</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>5</b> <b>5</b>	<b>3</b> <b>3</b>	<b>3</b> <b>4</b>	<b>1</b> <b>2</b>	<b>4</b> <b>4</b>
<b>Mobile Home Parks (16)</b> SODC VWHDC	<b>TOR sent</b>	24	0.0 0.0										
<b>Emergency Planning (16)</b> SODC VWHDC	<b>Final Issued</b>	10	5.5 5.5		<b>Satisfactory</b> <b>Satisfactory</b>	<b>4</b> <b>4</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>1</b> <b>1</b>	<b>1</b> <b>1</b>	<b>3</b> <b>3</b>	<b>3</b> <b>3</b>	<b>0</b> <b>0</b>
<b>Treasury Management (16)</b> SODC VWHDC	Commence Q3	14	0.0 0.0										
<b>Capital Management and Accounting (15)</b> SODC VWHDC	Commence Q3	14	0.0 0.0										
<b>Housing Allocations (15)</b> SODC VWHDC	<b>TOR sent</b>	20	0.0 0.0										
<b>Temporary Accommodation (VWHDC rent accounting) (15)</b> SODC VWHDC	Commence Q4	24	0.0 0.0										
<b>Planning Control (14)</b> SODC VWHDC	Commence Q4	20	0.0 0.0										
<b>Discretionary Grants (13)</b> SODC VWHDC	<b>In progress</b>	16	9.0 8.5										
<b>Information Governance (12)</b> SODC VWHDC	<b>TOR sent</b>	20	0.5 0.5										
<b>Freedom of Information (11)</b> SODC VWHDC	<b>Final Issued</b>	16	8.0 8.0		<b>Satisfactory</b> <b>Satisfactory</b>	<b>7</b> <b>7</b>	<b>0</b> <b>0</b>	<b>0</b> <b>0</b>	<b>2</b> <b>2</b>	<b>2</b> <b>2</b>	<b>5</b> <b>5</b>	<b>4</b> <b>4</b>	<b>1</b> <b>1</b>

Carbon Management Programme (None)	In progress	20											
SODC			7.5										
VWHDC			7.5										
SODC													
Cornerstone (15)	Commence Q3	10	0.0										
Leader Project Grant Verification (9)	Final Issued	4	1.5		Full	0	0	0	0	0	0	0	0
VWHDC													
Wantage Civic Hall (17)	In progress	10	1.0										
TOTALS	-	510	168.5		Full 4 Satisfactory 7 Limited 0 Nil 0	53	1	1	21	17	31	25	10

## FOLLOW UP AUDITS 2011/2012

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
<b>SODC</b>							
Planning Fees	0.5	Full	3	1	0	2	0
Licencing	0.5	Satisfactory	9	2	0	0	7
Waste Management and Recycling	0.5	Limited	13	6	3	4	0
Establishment Listing	0.5	Limited	3	3	0	0	0
Brown Bins	0.5	Satisfactory	7	4	0	3	0
Building Control	0.5	Satisfactory	3	0	1	2	0
Equalities and Diversity	0.5	Satisfactory	8	2	2	0	4
Travel and Subsistence	0.5	Satisfactory	13	7	2	4	0
HR Pro and Annual Leave Entitlement	0.5	Limited	6	5	0	1	0
<b>VWHDC</b>							
Cash Office	0.5	Limited	13	12	1	0	0
Planning Fees	0.5	Full	2	1	0	1	0
ICT	0.5	Limited	6	2	1	3	0
Licencing	0.5	Satisfactory	8	2	0	0	6
Waste Management and Recycling	0.5	Limited	14	5	3	6	0
Establishment Listing	0.5	Limited	3	3	0	0	0
Brown Bins	0.5	Satisfactory	5	2	0	3	0
Building Control	0.5	Satisfactory	3	0	1	2	0
Equalities and Diversity	0.5	Satisfactory	9	3	2	0	4
Travel and Subsistence	0.5	Satisfactory	14	9	2	3	0
HR Pro and Annual Leave Entitlement	0.5	Limited	5	4	0	1	0
<b>TOTALS</b>							

## FOLLOW UP AUDITS 2012/2013

System Name	Total Days Used	Original Audit Opinion Issued	Total No. of Recs Agreed	Implemented	Partly Implemented	Not Implemented	Ongoing
<b>SODC</b>							
<b>VWHDC</b>							
ICT	0.5	Limited	4	3	1	0	0
<b>TOTALS</b>							

## UNPLANNED WORK 2012/2013

### CONSULTANCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
Spot check of internet usage	Q3 To commence	2 days	N/A	Section 151 Officer
SODC				
VWHDC				

### CONTINGENCY

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
SODC				
Planning Policy	In progress	15 days	N/A	Strategic Director - Anna Robinson
VWHDC				

### SYSTEM DEVELOPMENT

System Name	Status	Audit Allocation	Total Days Used	Requested By
JOINT				
SODC				
VWHDC				